Discrete dynamics in cluster integrable systems from geometric R-matrix transformations

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Abstract

Cluster integrable systems are a broad class of integrable systems modelled on bipartite dimer models on the torus. Many discrete integrable dynamics arise by applying sequences of local transformations, which form the cluster modular group of the cluster integrable system. This cluster modular group was recently characterized by the first author and Inchiostro. There exist some discrete integrable dynamics that make use of non-local transformations associated with geometric R-matrices. In this article we characterize the generalized cluster modular group – which includes both local and non-local transformations – in terms of extended affine symmetric groups. We also describe the action of the generalized cluster modular group on the spectral data associated with cluster integrable systems.

1 Introduction

Cluster integrable systems are an infinite class of Liouville integrable systems constructed by Goncharov and Kenyon [GK13] from the bipartite dimer model on the torus, and classified by convex integral polygons in the plane. This class of integrable systems was found [FM16] to contain many other integrable systems, notably the pentagram map [OST10]. The pentagram map is moreover discrete integrable, and the discrete dynamics can be realized as a sequence of certain elementary transformations in the cluster integrable system [Gli11, FM16], called a cluster modular transformation in [FG09]. Such cluster modular transformations exist for any cluster integrable system, each one discrete integrable, and with Inchiostro [GI19], the first author proved a classification for cluster modular transformations, verifying a conjecture of Fock and Marshakov [FM16].

However, recently several integrable systems have been discovered which fall in the class of cluster integrable systems, but whose discrete dynamics are described not just by sequences of elementary transformations, but require additionally a non-local transformation first considered by [ILP16] and further studied in [ILP19, Che20]. They include:

- 1. The generalized discrete Toda lattice [ILP16].
- 2. The cross-ratio dynamics integrable system, introduced by [AFIT22] and shown to be a cluster integrable system in [AGR21].
- 3. Polygon recutting [Izo22b].

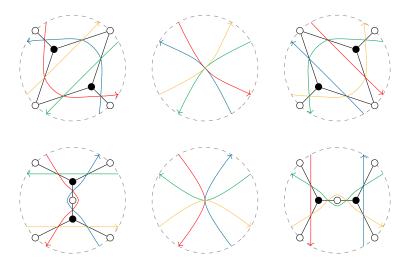


Figure 1: The elementary transformations: the spider move (above) and the resplit move (below), along with the corresponding homotopies of zig-zag paths.

Inoue, Lam and Pylyavskyy [ILP16] related this non-local transformation to geometric R-matrices from representation theory [BK00, Eti03, KNY02, KNO10], following previous interpretations in terms of semi-local transformations of electrical networks [LP12b, LP13, LP12a]. We will henceforth abuse terminology by calling these semi-local transformations geometric R-matrix transformations.

This motivates the study of generalized cluster modular transformations, defined to be sequences of elementary transformations and geometric R-matrix transformations. The goal of this paper is to prove a classification for generalized cluster modular transformations for any cluster integrable system, simultaneously generalizing the results of [GI19] (which does not include geometric R-matrix transformations) and [ILP16] (which provides such a classification for square lattices). We expect that the systematic consideration of the full set of generalized cluster modular transformations will bring about new constructions of geometric integrable systems.

Besides integrable systems in geometry, cluster modular transformations also originate from the combinatorial and probabilistic study of the dimer model, where they have been used to compute partition functions, sample exactly according to a Boltzmann distribution via shuffling algorithms and prove limit shape results, see e.g. [EKLP92, JPS98, PS05, DFSG14, LMNT14, LM17, BF18, GLVY19,LM20]. Commutation relations arising from *R*-matrices have also recently been considered [BD19] in the special case of the dimer model on rail-yard graphs [BBC⁺17].

We now briefly review the cluster integrable system, before proceeding to more precise statements of our results. Let Γ be a bipartite graph embedded in the torus \mathbb{T} equipped with edge weights wt. Let $\mathcal{X}_{\Gamma} := H^1(\Gamma, \mathbb{C}^{\times})$ be the space of weights on directed cycles of Γ : [wt] $\in \mathcal{X}_{\Gamma}$ is obtained by taking alternating products of edge weights wt along any cycle. A zig-zag path in Γ is a closed path in Γ that turns maximally right at black vertices and maximally left at white vertices. Since Γ is embedded in \mathbb{T} , each zig-zag path α has a homology class $[\alpha] \in H_1(\mathbb{T}, \mathbb{Z}) \cong \mathbb{Z}^2$. There is a convex integral polygon N in the plane, unique up to translations, whose primitive edge vectors are given by the homology classes of zig-zag paths. Here, integral means the vertices of N are lattice points (i.e. contained in $\mathbb{Z}^2 \subset \mathbb{R}^2$), and by a primitive edge vector we mean a vector contained in

an edge of N, oriented counterclockwise around the boundary of N, whose end-points are lattice points and which contains no other lattice points. The polygon N is called the *Newton polygon* of Γ . We denote by Z the set of zig-zag paths of Γ .

There are two local transformations of weighted bipartite graphs embedded in \mathbb{T} , called *elementary transformations* (Figure 1). Each elementary transformation consists of a pair (s, μ^s) , where:

- 1. $s: \Gamma_1 \leadsto \Gamma_2$ is a local modification of the bipartite graph Γ_1 to obtain the bipartite graph Γ_2 , and
- 2. $\mu^s: \mathfrak{X}_{\Gamma_1} \to \mathfrak{X}_{\Gamma_2}$ is a birational map of weights.

The local modification s induces a homology-class-preserving bijection between zig-zag paths of Γ_1 and Γ_2 . Therefore, elementary transformations preserve the Newton polygon. Indeed, we can view elementary transformations as homotopies of zig-zag paths (Figure 1).

Goncharov and Kenyon [GK13] show that associated to any convex integral polygon N in the plane is a family of graphs with Newton polygon N, and that two members of the family are related by elementary transformations. Gluing the spaces of weights \mathcal{X}_{Γ} as Γ varies over the family of graphs with Newton polygon N using the birational maps induced by elementary transformations, we obtain the dimer cluster Poisson variety \mathcal{X}_N , which is an \mathcal{X} -cluster variety in the terminology of [FG09] and therefore possesses a canonical cluster Poisson structure. The Poisson variety \mathcal{X}_N is the phase space of the cluster integrable system.

A sequence ϕ of elementary transformations and isotopies from a graph Γ to itself is called a cluster transformation based at Γ . Cluster transformations ϕ may be viewed as homotopies of zig-zag paths on the torus. Composing the induced birational maps of weights, we get a birational automorphism μ^{ϕ} of \mathfrak{X}_{Γ} , and therefore of \mathfrak{X}_{N} . A cluster transformation based at Γ is called trivial if μ^{ϕ} is the identity rational map. For example, doing the spider move at the same face twice is a trivial cluster transformation. The cluster modular group G_{Γ} based at Γ is the group of cluster transformations based at Γ modulo the trivial cluster transformations. If Γ' is another minimal bipartite graph with Newton polygon N, then G_{Γ} and $G_{\Gamma'}$ are isomorphic, so the isomorphism class of the cluster modular group only depends on N.

Let $\Sigma(1)$ be the collection of 1-dimensional cones generated by the unit normal vectors to the sides of N, oriented so that they point into N. Elements $\rho \in \Sigma(1)$ are called rays, they are in bijection with the sides of N. This notation and terminology comes from toric geometry: if Σ is the normal fan of N, then $\Sigma(r)$ denotes the set of r-dimensional cones of Σ . We label the side of N normal to the ray ρ by E_{ρ} , and the set of zig-zag paths whose homology classes are primitive edges of E_{ρ} by Z_{ρ} . We denote by $|E_{\rho}|$ the integral length of E_{ρ} i.e. the number of primitive edge vectors contained in E_{ρ} , so that $|E_{\rho}| = \#Z_{\rho}$. See Figure 2 for an illustration of the Newton polygon of a graph and the rays ρ .

Let $p: \mathbb{R}^2 \to \mathbb{T}$ be the universal cover of the torus \mathbb{T} . The preimage $\widetilde{\Gamma} := p^{-1}(\Gamma)$ is a biperiodic graph in the plane that is periodic under translations by $H_1(\mathbb{T}, \mathbb{Z}) \cong \mathbb{Z}^2$. Let $\rho \in \Sigma(1)$ be a ray and let $Z_{\rho} = \{\alpha_1, \ldots, \alpha_{|E_{\rho}|}\}$ be zig-zag paths labeled in cyclic order from left to right (i.e. labels increase in the direction $-\rho$). Their lifts to $\widetilde{\Gamma}$ form an infinite collection of parallel zig-zag paths $\widetilde{Z}_{\rho} = \{\widetilde{\alpha}_i\}_{i \in \mathbb{Z}}$, such that $p(\widetilde{\alpha}_i) = \alpha_j$, where $1 \leq j \leq |E_{\rho}|$ and $j \equiv i \mod |E_{\rho}|$. Here by parallel we also assume that they are pointing in the same direction.

A cluster transformation $\Gamma \stackrel{\phi}{\leadsto} \Gamma$ lifts to an $H_1(\mathbb{T}, \mathbb{Z})$ -periodic sequence of elementary transformations and isotopies $\widetilde{\Gamma} \stackrel{\widetilde{\phi}}{\leadsto} \widetilde{\Gamma}$. Viewing $\widetilde{\phi}$ as a homotopy of zig-zag paths, each zig-zag path $\widetilde{\alpha}_i \in \widetilde{Z}_{\rho}$

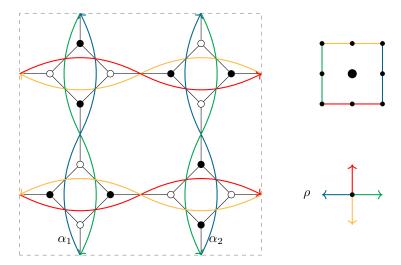


Figure 2: A square-octagon graph (left), its four-sided Newton polygon (top-right) and normal rays (bottom-right). For the blue ray labeled ρ , the set $Z_{\rho} = \{\alpha_1, \alpha_2\}$ consists of the two blue zig-zag paths, and they are indexed such that the index increases along $-\rho$ (cyclically).

is moved by the homotopy induced by $\widetilde{\phi}$ to the initial location of a parallel zig-zag path $\widetilde{\alpha}_{i-f(\rho)}$, where $f(\rho) \in \mathbb{Z}$. Parallel zig-zag paths can never cross during a cluster transformation, and therefore every zig-zag path in \widetilde{Z}_{ρ} is translated by $f(\rho)$ i.e. $f(\rho)$ only depends on ρ and not on $i \in \mathbb{Z}$. Therefore we get a function $f: \Sigma(1) \to \mathbb{Z}$, which can be shown to satisfy

$$\sum_{\rho \in \Sigma(1)} f(\rho) = 0. \tag{1}$$

We denote by $\mathbb{Z}_0^{\Sigma(1)}$ the subgroup of such functions. Informally, the function f keeps track of how much each zig-zag path moves during the homotopy induced by $\widetilde{\phi}$, and the values of f sum to zero because in each elementary transformation, for every zig-zag path moving in a certain direction, there is another one moving in the opposite direction. If we take $\widetilde{\phi}$ to be a translation of $\widetilde{\Gamma}$ by $H_1(\mathbb{T},\mathbb{Z})$, then it does not not do anything on Γ . We therefore quotient out the subgroup of functions that arise from translations and consider f to be an element of $\mathbb{Z}_0^{\Sigma(1)}/H_1(\mathbb{T},\mathbb{Z})$.

The map $\phi \mapsto f$ is a group homomorphism

$$\{\text{cluster transformations}\} \to \mathbb{Z}_0^{\Sigma(1)}/H_1(\mathbb{T},\mathbb{Z}),$$

which was shown in [GI19] to induce an isomorphism

$$G_{\Gamma} \cong \mathbb{Z}_0^{\Sigma(1)}/H_1(\mathbb{T}, \mathbb{Z})$$
 (2)

if N contains an interior lattice point, and G_{Γ} was shown to be a finite abelian group otherwise.

We wish to extend this result to generalized cluster modular transformations based at Γ , which are sequences of isotopies, elementary transformations and geometric R-matrix transformations $\Gamma \leadsto \Gamma$. Suppose Γ is a bipartite torus graph that contains a cyclic chain of hexagons as shown in Figure

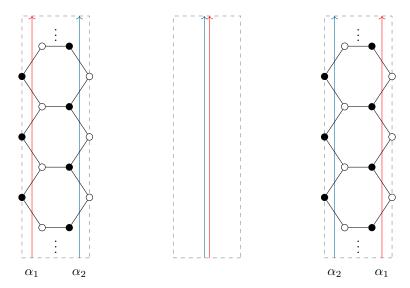


Figure 3: The geometric R-matrix transformation and the homotopy of zig-zag paths. The red and blue zig-zag paths on either side of the cyclic chain of hexagons interchange positions, while all other zig-zag paths are left unchanged.

3. The geometric R-matrix transformation is a semi-local transformation $\Gamma \leadsto \Gamma$, which induces a birational map of weights $\mathfrak{X}_{\Gamma} \to \mathfrak{X}_{\Gamma}$ [ILP16] (cf. Theorem 3.2). In terms of zig-zag paths, we view the geometric R-matrix transformation as a homotopy swapping the two parallel zig-zag paths that bound the cyclic chain of hexagons, while leaving all other zig-zag paths unchanged (Figure 3). An example of a generalized cluster transformation for the square-octagon graph from Figure 2 is shown in Figure 4. A generalized cluster transformation is trivial if the induced birational map of weights is the identity, and we define the generalized cluster modular group \mathfrak{G}_{Γ} based at Γ to be the group of generalized cluster transformations based at Γ modulo the trivial ones. Its isomorphism class depends only on the Newton polygon N (cf. Section 3.3).

As in the case of cluster transformations, a generalized cluster transformation $\Gamma \stackrel{\phi}{\leadsto} \Gamma$ gives rise to an $H_1(\mathbb{T}, \mathbb{Z})$ -periodic sequence of isotopies, elementary transformations and geometric R-matrix transformations $\widetilde{\Gamma} \stackrel{\widetilde{\phi}}{\leadsto} \widetilde{\Gamma}$, which we view as a homotopy such that each zig-zag path moves to the initial position of a parallel zig-zag path. However, unlike the case of cluster transformations, a generalized cluster transformation can permute parallel zig-zag paths, and therefore to encode the positions of zig-zag paths in \widetilde{Z}_{ρ} , we replace $f(\rho) \in \mathbb{Z}$ with an extended affine permutation, which we now define.

An extended affine permutation with period k is a bijection $w: \mathbb{Z} \to \mathbb{Z}$ such that w(i+k) = w(i) + k. Let \widehat{S}_k denote the group of extended affine permutations with period k. Suppose that the generalized cluster transformation $\widetilde{\phi}$ moves the zig-zag path $\widetilde{\alpha}_i \in \widetilde{Z}_\rho$ to the initial location of the zig-zag path $\widetilde{\alpha}_j$. Then we define the extended affine permutation $w_\rho \in \widehat{S}_{|E_\rho|}$ by $w_\rho(i) = j$. This

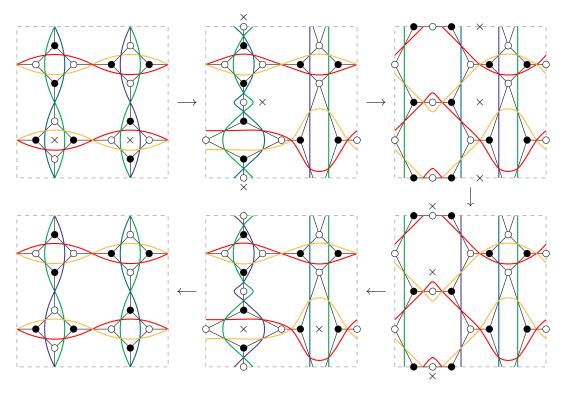


Figure 4: A generalized cluster transformation for the square-octagon graph, along with the homotopy of zig-zag paths. The faces/white-vertices/chain of hexagons at which we are doing transformations are marked by \times 's. We have colored the two blue zig-zag paths with different shades of blue to distinguish them. The result of the homotopy is that the two blue zig-zag paths are swapped, while all other zig-zag paths return to their initial positions.

defines a group homomorphism

$$\lambda: \{\text{generalized cluster transformations}\} \to \prod_{\rho \in \Sigma(1)} \widehat{S}_{|E_\rho|}$$

$$\phi \mapsto (w_\rho)_{\rho \in \Sigma(1)}.$$

Since two parallel zig-zag paths move in opposite directions during a geometric R-matrix transformation, any $(w_{\rho})_{\rho \in \Sigma(1)}$ in the image of λ satisfies the equation

$$\sum_{\rho \in \Sigma(1)} \frac{1}{|E_{\rho}|} \sum_{i=1}^{|E_{\rho}|} (w_{\rho}(i) - i) = 0, \tag{3}$$

which generalizes (1). Let L_N denote the subgroup of $\prod_{\rho \in \Sigma(1)} \widehat{S}_{|E_{\rho}|}$ satisfying (3). We denote by \mathcal{H}_N the quotient of L_N by translations in $H_1(\mathbb{T}, \mathbb{Z})$, and by $\overline{\lambda}$ the induced map from generalized cluster transformations to \mathcal{H}_N . The main theorem of the paper is:

Theorem 1.1. If N contains an interior lattice point, then the homomorphism $\overline{\lambda}$ induces an isomorphism of the generalized cluster modular group \mathfrak{G}_{Γ} based at Γ with \mathfrak{H}_{N} . Otherwise, \mathfrak{G}_{Γ} is isomorphic to the finite group $\prod_{o \in \Sigma(1)} S_{|E_{o}|}$.

Comparing Theorem 1.1 with (2), we observe that the generalized cluster modular group is usually non-abelian, hence has a richer structure than the abelian cluster modular group.

In the course of proving Theorem 1.1, we prove some results that are of independent interest. Firstly, we show that if we have two parallel zig-zag paths that are adjacent to each other (i.e. are consecutive in the cyclic order in Z_{ρ}), then they can be swapped by a generalized cluster transformation: we can create a cyclic chain of hexagons bounded by these two zig-zag paths using elementary transformations and isotopies, and then swap them using the geometric R-matrix transformation.

Secondly, we study the action of generalized cluster transformations on spectral data, generalizing results of [ILP16] for square lattices. A spectral data associated with N is a triple (\mathcal{C}, S, ν) where:

- 1. C is an algebraic curve with Newton polygon contained in N called the spectral curve.
- 2. S is a degree g effective divisor in $\mathcal C$ called the spectral divisor, where g is the genus of $\mathcal C$.
- 3. Let D_{ρ} denote the toric divisor at infinity associated with the ray ρ . If \mathcal{C} is generic, then it has $|E_{\rho}|$ points of intersection with D_{ρ} . $\nu = (\nu_{\rho})_{\rho \in \Sigma(1)}$ is a collection of bijections $\nu_{\rho} : Z_{\rho} \xrightarrow{\sim} \mathcal{C} \cap D_{\rho}$ between zig-zag paths in Γ and points at toric infinity of \mathcal{C} .

Let S_N denote the moduli space parameterizing spectral data associated with N. The space of degree g effective divisors is birational to the Jacobian variety of \mathbb{C} , which is a g-dimensional complex torus. If we fix a bipartite graph Γ and a white vertex w in Γ , there is a rational map $\kappa_{\Gamma,w}: \mathcal{X}_N \to \mathcal{S}_N$ called the *spectral transform*, that was defined by Kenyon and Okounkov in [KO06] and further studied in [GK13, BCdT21, GGK22] (see Section 2.4 for the construction of the spectral transform). Fock [Foc15] proved that:

1. The spectral transform is birational.

2. Cluster transformations act on the spectral data as follows: the spectral curve is invariant, the spectral divisor is translated in the Jacobian variety, and the bijections ν are cyclically permuted as dictated by the homotopy of zig-zag paths induced by the cluster transformation.

We show that generalized cluster transformations act on the spectral data in almost the same way, except that the action on ν is by the whole symmetric group $\prod_{\rho \in \Sigma(1)} S_{|E_{\rho}|}$ rather than $\prod_{\rho \in \Sigma(1)} \mathbb{Z}/|E_{\rho}|\mathbb{Z}$. This result is used to identify the trivial generalized cluster transformations. Moreover, this shows that the discrete integrable systems that arise from generalized cluster transformations, including cross-ratio dynamics and polygon recutting, are integrable in the algebrogeometric sense of being linearized on finite covers (corresponding to the bijections ν) of Jacobians of spectral curves.

In the spectral data of integrable systems, we usually have a spectral curve and spectral divisor, but the data of the bijections ν is less natural:

- 1. The cluster integrable system for the honeycomb lattice was shown to be isomorphic to a finite cover of the Beauville integrable system in [GI22]: the Beauville integrable system does not account for ν .
- 2. The data ν does not appear in the categorification of the spectral transform in [TWZ19].

Therefore, we define the quotient of S_N by the product of symmetric groups $\prod_{\rho \in \Sigma(1)} S_{|E_\rho|}$ acting on S_N by changing the bijections ν , and consider the corresponding action on \mathfrak{X}_N . Let Γ and w be as in the definition of the spectral transform. Let $\rho \in \Sigma(1)$, and let $\alpha_1, \ldots, \alpha_{|E_\rho|}$ denote the corresponding set of zig-zag paths, and suppose they are labeled such that w is contained in the region between $\alpha_{|E_\rho|}$ and α_1 . Then, $S_{|E_\rho|}$ acts on \mathfrak{X}_N by generalized cluster transformations permuting (not cyclically) the zig-zag paths $\alpha_1, \ldots, \alpha_{|E_\rho|}$. Thus, by considering the phase space of the cluster integrable system to be $\mathfrak{X}_N/\prod_{\rho \in \Sigma(1)} S_{|E_\rho|}$ instead of \mathfrak{X}_N , we get a more natural definition of the spectral transform which is an isomorphism with the Beauville integrable system. However, the quotient depends on the choice of Γ and w.

Organization of the paper

We provide background on the cluster integrable system from the perspective of the bipartite dimer model on the torus in Section 2. In Section 3 we define the generalized cluster modular group \mathcal{G}_{Γ} and the quotient \mathcal{H}_N of products of extended affine symmetric groups. The map $\overline{\lambda}$ from \mathcal{G}_{Γ} to \mathcal{H}_N is shown to be surjective in Section 4 and bijective in Section 6. This last part of the proof uses the description of the action of geometric R-matrix transformations on the spectral data, provided in Section 5.

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2 The dimer cluster integrable system

In this section we provide some background on the dimer cluster integrable system, following [KO06, GK13, Foc15].

2.1 Weighted bipartite graphs in the torus

Let $\Gamma = (B \sqcup W, E)$ denote a bipartite graph embedded in the torus \mathbb{T} such that each face of Γ , i.e. each connected component of $\mathbb{T} - \Gamma$, is a topological disk. Here B, W and E denote the sets of black vertices, white vertices and edges of Γ respectively. Let $i : \Gamma \hookrightarrow \mathbb{T}$ denote the embedding. We have an induced homomorphism $i_* : H_1(\Gamma, \mathbb{Z}) \to H_1(\mathbb{T}, \mathbb{Z})$ by functoriality of homology.

An edge weight on Γ is a function $\operatorname{wt}: E \to \mathbb{C}^{\times}$. Two edge weights wt_1 and wt_2 are said to be gauge equivalent if there exist functions $f: B \to \mathbb{C}^{\times}, g: W \to \mathbb{C}^{\times}$ such that for every edge $e = \operatorname{bw}$ in E, we have $\operatorname{wt}_1(e) = f(\operatorname{b})^{-1}\operatorname{wt}_2(e)g(\operatorname{w})$. Let \mathfrak{X}_{Γ} denote the space of edge weights modulo gauge equivalence. We denote the gauge equivalence class of wt by $[\operatorname{wt}]$. Equivalently, $\mathfrak{X}_{\Gamma} = H^1(\Gamma, \mathbb{C}^{\times})$: indeed an edge weight is a cellular 1-cocycle and two edge weights are gauge equivalent if they differ by a cellular 1-coboundary. Since $H^1(\Gamma, \mathbb{C}^{\times}) = \operatorname{Hom}_{\mathbb{Z}}(H_1(\Gamma, \mathbb{Z}), \mathbb{C}^{\times}) \cong (\mathbb{C}^{\times})^{\#E - \#B - \#W + 1}$ is the algebraic torus with group of characters $H_1(\Gamma, \mathbb{Z})$, the coordinate ring of \mathfrak{X}_{Γ} is the group algebra

$$\mathbb{C}[H_1(\Gamma, \mathbb{Z})] := \left\{ \sum_{[L] \in H_1(\Gamma, \mathbb{Z})} a_{[L]} \chi^{[L]} : a_{[L]} = 0 \text{ for all but finitely many } [L] \right\}. \tag{4}$$

Explicitly, $\chi^{[L]}$ is the regular function, called *monodromy*, defined as

$$\chi^{[L]}([\text{wt}]) = \prod_{i=1}^{n} \frac{\text{wt}(e_{2i})}{\text{wt}(e_{2i-1})},$$

where L is a 1-cycle $w_1 \xrightarrow{e_1} b_1 \xrightarrow{e_2} w_2 \xrightarrow{e_3} b_2 \xrightarrow{e_4} \cdots \xrightarrow{e_{2n-2}} w_n \xrightarrow{e_{2n-1}} b_n \xrightarrow{e_{2n}} w_1$ representing the homology class [L]. For a face f of Γ , we denote by ∂f the counterclockwise oriented boundary of f, and let $X_f := \chi^{[\partial f]}$.

The space \mathfrak{X}_{Γ} carries a Poisson structure $\{\cdot,\cdot\}_{\Gamma}$ [GK13, Section 1.1.1], which is a variation of the canonical cluster Poisson structure of [GSV03], and whose construction we do not recall here since we will not require it.

2.2 Zig-zag paths and Newton polygon

A zig-zag path in Γ is an oriented path in Γ that turns maximally left at white vertices and maximally right at black vertices. Let $Z(\Gamma)$ denote the set of zig-zag paths in Γ . The graph Γ is said to be minimal if the lifts of any zig-zag path to the universal cover of \mathbb{T} , i.e. the plane \mathbb{R}^2 , have no self-intersections, and the lifts of any two zig-zag paths to the universal cover do not form parallel bigons (pairs of zig-zag paths oriented the same way intersecting twice).

A convex polygon in $H_1(\mathbb{T},\mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{R}$ is called *integral* if its vertices are contained in $H_1(\mathbb{T},\mathbb{Z})$. We call a vector v in $H_1(\mathbb{T},\mathbb{Z})$ primitive if there is no vector $w \in H_1(\mathbb{T},\mathbb{Z})$ such that v = nw for some integer $n \geq 2$. Each zig-zag path α in $Z(\Gamma)$ determines a homology class $[\alpha] \in H_1(\mathbb{T},\mathbb{Z})$. Since each edge is contained in exactly two zig-zag paths that traverse the edge in opposite directions, we have $\sum_{\alpha \in Z(\Gamma)} [\alpha] = 0$ in $H_1(\mathbb{T},\mathbb{Z})$. This implies that there is a convex integral polygon

 $N(\Gamma)$ in $H_1(\mathbb{T},\mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{R}$, unique up to translations, such that the set of primitive vectors in the counterclockwise-oriented boundary of $N(\Gamma)$ is $\{[\alpha] : \alpha \in Z(\Gamma)\}$. We call $N(\Gamma)$ the Newton polygon of Γ .

For a zig-zag path α , we define $C_{\alpha} := \chi^{[\alpha]}$. These functions generate the center of the Poisson algebra (4): $\{C_{\alpha}, \cdot\}_{\Gamma} = 0$. Such functions are called *Casimirs*.

2.3 Elementary transformations and the cluster Poisson variety X_N

Recall from Section 1 the definition of elementary transformations. An elementary transformation $(\Gamma_1 \xrightarrow{s} \Gamma_2, \chi_{\Gamma_1} \xrightarrow{\mu^s} \chi_{\Gamma_2})$ has the following properties:

1. There is an induced isomorphism $s_*: H_1(\Gamma_1, \mathbb{Z}) \to H_1(\Gamma_2, \mathbb{Z})$ such that

commutes, where i_1 (resp. i_2) denotes the embedding of Γ_1 (resp. Γ_2) into \mathbb{T} .

- 2. There is an induced bijection $s: Z(\Gamma_1) \to Z(\Gamma_2)$ that preserves homology classes, i.e. such that $s_*([\alpha]) = [s(\alpha)] \in H_1(\Gamma_2, \mathbb{Z})$ for every α . We view the elementary transformations as homotopies of zig-zag paths as shown in Figure 1. Using (5), we get that $[\alpha] = [s(\alpha)] \in H_1(\mathbb{T}, \mathbb{Z})$, so that $N(\Gamma_1) = N(\Gamma_2)$.
- 3. The birational map $\mu^s: (\mathfrak{X}_{\Gamma_1}, \{\cdot, \cdot\}_{\Gamma_1}) \dashrightarrow (\mathfrak{X}_{\Gamma_2}, \{\cdot, \cdot\}_{\Gamma_2})$ is Poisson, and explicit formulas may be found in [GK13, Section 4.1]. Moreover, μ^s has the property that monodromies around zig-zag paths are preserved: $(\mu^s)^* \chi^{s_*[\alpha]} = \chi^{[\alpha]}$ for every $\alpha \in Z(\Gamma_1)$.

Goncharov and Kenyon proved that:

Theorem 2.1. [GK13, Theorem 2.5] For any convex integral polygon N in the plane $H_1(\mathbb{T}, \mathbb{Z}) \otimes_{\mathbb{Z}} \mathbb{R} \cong \mathbb{R}^2$, there exists a non-empty family of minimal bipartite graphs with Newton polygon N. Any two minimal bipartite graphs with Newton polygon N are related by a sequence of elementary transformations.

Given a convex integral polygon N, gluing the Poisson varieties $(\mathfrak{X}_{\Gamma}, \{\cdot, \cdot\}_{\Gamma})$ for minimal bipartite graphs Γ with Newton polygon N using the Poisson birational maps μ^s , we get a Poisson space $(\mathfrak{X}_N, \{\cdot, \cdot\})$, called the *dimer cluster Poisson variety*. It is a cluster \mathfrak{X} variety as defined by Fock and Goncharov [FG09].

Let us mention that an equivalent description of cluster integrable systems can be given via the directed networks of [GSTV16], as was shown in [Izo22a].

2.4 The spectral transform

Let R be a fundamental rectangle for \mathbb{T} , so that \mathbb{T} is obtained by gluing the opposite sides of R. Let γ_z, γ_w denote the oriented sides of R generating $H_1(\mathbb{T}, \mathbb{Z})$ as shown in Figure 5. Let $\langle \cdot, \cdot \rangle : H_1(\mathbb{T}, \mathbb{Z}) \wedge H_1(\mathbb{T}, \mathbb{Z}) \to \mathbb{Z}$ denote the intersection form on \mathbb{T} . For each edge e of Γ , we define

$$\phi(e) := z^{\langle e, \gamma_w \rangle} w^{\langle e, -\gamma_z \rangle},$$

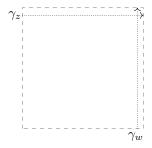


Figure 5: The fundamental rectangle R, along with the cycles γ_z, γ_w .

where $\langle e, \cdot \rangle$ denotes the intersection index with e = bw, defined as follows: Let l_e be an oriented path contained in R from w to b. Concatenating l_e with e, which we consider an oriented path from b to w, we get an oriented cycle $l_e \cdot e$ in \mathbb{T} . Then $\langle e, \cdot \rangle := \langle l_e \cdot e, \cdot \rangle$.

A Kasteleyn sign is a cohomology class $[\kappa] \in H^1(\Gamma, \mathbb{C}^{\times})$ such that for any loop L in Γ , $\chi^{[L]}([\kappa])$ is -1 (respectively 1) if the number of edges in L is 0 mod 4 (respectively 2 mod 4). Given edge weights wt and κ representing [wt] and $[\kappa]$ respectively, the Kasteleyn matrix K = K(z, w) is the map of free $\mathbb{C}[z^{\pm 1}, w^{\pm 1}]$ -modules defined by

$$K(z, w)_{w,b} = \sum_{e \in E \text{ incident to b,w}} \operatorname{wt}(e)\kappa(e)\phi(e).$$
(6)

The Laurent polynomial $P(z, w) := \det K(z, w)$ is known as the characteristic polynomial, and its vanishing locus $\mathfrak{C}^{\circ} := \{(z, w) \in (\mathbb{C}^{\times})^2 : P(z, w) = 0\}$ is called the *open spectral curve*.

Recall from Section 1 the definitions of the set $\Sigma(1)$ of rays ρ associated with $N(\Gamma)$, of the side E_{ρ} normal to ρ , of the set $Z_{\rho}(\Gamma)$ of zig-zag paths associated with ρ and of the integral length of a side E_{ρ} .

The convex integral polygon N defines a projective toric surface X_N compactifying $(\mathbb{C}^{\times})^2$. The complement of the torus $X_N - (\mathbb{C}^{\times})^2$ is a union of projective lines D_{ρ} indexed by the rays of Σ , called *lines at infinity*. The lines at infinity intersect according to the combinatorics of N. The Zariski closure \mathbb{C} of \mathbb{C}° in X_N is called the *spectral curve*. For generic [wt] $\in \mathcal{X}_N$, the spectral curve \mathbb{C} has Newton polygon N, and has the following properties:

- 1. The genus g of \mathcal{C} is the number of interior lattice points in N.
- 2. C intersects the line at infinity D_{ρ} at $|E_{\rho}|$ points, counted with multiplicity.

A parameterization of the points at infinity by zig-zag paths is defined as a choice of bijections $\nu = {\{\nu_{\rho}\}_{\rho \in \Sigma(1)}}$, where

$$\nu_{\rho}: Z_{\rho} \xrightarrow{\sim} \mathfrak{C} \cap D_{\rho}.$$

Note that these sets have the same cardinality by Property 2 of the spectral curve, but there is no canonical bijection between them. Recall from Section 1 the moduli space S_N parameterizing spectral data.

Fix a distinguished white vertex w of Γ . The spectral transform,

$$\kappa_{\Gamma,\mathbf{w}}: \mathfrak{X}_N \dashrightarrow \mathfrak{S}_N,$$
(7)

defined by Kenyon and Okounkov [KO06], is the rational map defined on the dense open subset $H^1(\Gamma, \mathbb{C}^{\times})$ of \mathfrak{X}_N by $[\mathrm{wt}] \mapsto (\mathfrak{C}, S_{\mathrm{w}}, \nu)$ as follows:

- 1. C is the spectral curve.
- 2. For generic [wt], \mathcal{C} is a smooth curve and coker K is the pushforward of a line bundle on \mathcal{C}° . Let $s_{\mathbf{w}}$ be the section of coker K given by the w-entry of the cokernel map. $S_{\mathbf{w}}$ is defined to be the divisor of this section. It is a degree g effective divisor in \mathcal{C}° , and is called the *spectral divisor*. This is the only component of the spectral data that depends on the distinguished white vertex \mathbf{w} , and we write $S_{\mathbf{w}}$ to emphasize the dependence of the divisor on \mathbf{w} .
- 3. The parameterization of points at infinity by zig-zag paths ν is defined so that a certain coordinate of the point at infinity is given by C_{α} (cf. [GGK22, Section 2.7]). We call $\nu_{\rho}(\alpha)$ the point at infinity associated to α .

Since ρ is determined by α , we will hereafter use the simpler notation $\nu(\alpha) := \nu_{\rho}(\alpha)$. The importance of the spectral transform for us stems from the following result of Fock:

Theorem 2.2 ([Foc15]). The spectral transform is birational.

3 The generalized cluster modular group

3.1 Geometric *R*-matrix transformations

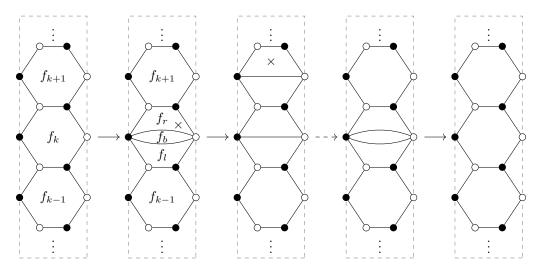


Figure 6: The sequence of moves in the geometric R-matrix transformation. The faces where we perform spider moves are marked by \times 's. The dashed arrow indicates a sequence of n-1 spider moves.

We first recall the construction of [ILP16, Section 11]. Suppose the minimal bipartite graph Γ contains a cyclic chain of n hexagons. Since Γ is minimal, its zig-zag paths cannot be contractible on the torus thus the cyclic chain of hexagons must wind around the torus. We label the hexagons

 f_1, \ldots, f_n in cyclic order, as illustrated in the first (from left) graph in Figure 6. Let $k \in [n]$. We insert a bigon between the two vertices of the hexagon f_k as shown in the second graph in Figure 6, dividing the face f_k into three new faces f_l, f_b, f_r , and assign opposite weights $\pm x$ to the two newly created edges, where $x \in \mathbb{C}^{\times}$ is to be determined. We then perform a sequence of n spider moves, starting at the face f_r , followed by $f_{k+1}, f_{k+2}, \ldots, f_{k-1}$. At the end of this sequence of spider moves, the kth hexagon again has a bigon. In order to delete the bigon, we need the weights of the two edges of the bigon to be equal and opposite (equivalently, that the monodromy around the bigon is -1), which is a linear condition in x. We finally delete the bigon, obtaining again a cyclic chain of n hexagons. The sequence of moves defined above is called a geometric R-matrix transformation. Just like the elementary transformations, it is an involution.

Let ϕ be a geometric R-matrix transformation, and let $\mu^{\phi}: \mathfrak{X}_{\Gamma} \dashrightarrow \mathfrak{X}_{\Gamma}$ denote the induced birational map of weights. The following result appears in [AGR21], based on constructions in [ILP19].

Proposition 3.1. [ILP19, AGR21] The map μ^{ϕ} is Poisson.

Formulas (11.1) and (11.2) of [ILP16] give the following explicit formula for $(\mu^{\phi})^* X_{f_i}$:

Theorem 3.2 ([ILP16]). The rational map μ^{ϕ} transforms the face weights of the hexagons in the cyclic chain according to the following formula:

$$(\mu^{\phi})^* X_{f_i} = \frac{\sum_{t=0}^{n-1} \prod_{s=0}^{t-1} X_{f_{i+s}}}{\sum_{t=1}^{n} \prod_{s=1}^{t} X_{f_{i+s}}},$$
(8)

for $i \in [n]$ and indices are taken modulo n. In particular, μ^{ϕ} does not depend on k.

Note that the formula stated above slightly differs from the one in [ILP16], due to opposite conventions for computing face weights as alternating products of edge weights.

Remark 3.3. When doing a geometric R-matrix transformation, there are three types of faces that change their X variables: the strip of hexagons, the neighboring faces to their left and the neighboring faces to their right. Here we recall only the evolution formula for the strip. [ILP16] also gives the evolution for the neighbors.

3.2 Universal covering of \mathbb{T}

Let $p: \mathbb{R}^2 \to \mathbb{T}$ denote the universal covering map of the torus. Let $\widetilde{\Gamma} = (\widetilde{B} \sqcup \widetilde{W}, \widetilde{E})$ denote the biperiodic graph $p^{-1}(\Gamma)$ in \mathbb{R}^2 whose quotient is Γ . Let $\alpha_1, \ldots, \alpha_{|E_{\rho}|}$ denote the zig-zag paths in $Z_{\rho}(\Gamma)$ in cyclic order. Then their lifts to the universal cover form a collection of bi-infinite parallel zig-zag paths $\widetilde{\alpha}_i, i \in \mathbb{Z}$, in $\widetilde{\Gamma}$ labeled in order from left to right (or more invariantly, along $-\rho$), such that $p(\widetilde{\alpha}_i) = \alpha_j$, where $1 \leq j \leq |E_{\rho}|$ and $j \equiv i \mod |E_{\rho}|$.

3.3 Generalized cluster modular transformations

We say that the minimal bipartite graphs Γ_1 and Γ_2 are *isotopic* if there is an isotopy in the torus \mathbb{T} from Γ_1 to Γ_2 . A generalized cluster transformation based at Γ is a sequence

$$\phi = \left(\Gamma = \Gamma_0 \stackrel{t_1}{\leadsto} \Gamma_1 \stackrel{t_2}{\leadsto} \cdots \stackrel{t_{n-1}}{\leadsto} \Gamma_{n-1} \stackrel{t_n}{\leadsto} \Gamma_n = \Gamma\right),$$

where each $t_i, i \in [n]$, is either an isotopy, an elementary transformation or a geometric R-matrix transformation. A generalized cluster transformation ϕ gives rise to an induced birational map of weights

$$\mu^{\phi}: \mathfrak{X}_{\Gamma} \dashrightarrow \mathfrak{X}_{\Gamma},$$

defined as the composition $\mu^{t_n} \circ \cdots \circ \mu^{t_1}$. Proposition 3.1 implies that like cluster transformations, generalized cluster transformations are Poisson. A generalized cluster transformation is called *trivial* if μ^{ϕ} is the identity rational map.

Let $\widehat{\mathcal{G}}_{\Gamma}$ denote the group of generalized cluster transformations based at Γ , and let \mathcal{K}_{Γ} denote the normal subgroup of $\widehat{\mathcal{G}}_{\Gamma}$ consisting of trivial generalized cluster transformations. We call the quotient $\mathcal{G}_{\Gamma} := \widehat{\mathcal{G}}_{\Gamma}/\mathcal{K}_{\Gamma}$ the generalized cluster modular group based at Γ . We wish to understand the structure of this group.

A category in which every morphism is an isomorphism is called a groupoid. Consider the groupoid \mathcal{A}_N whose objects are minimal bipartite graphs with Newton polygon N, and whose morphisms are given by sequences of isotopies, elementary transformations and geometric R-matrix transformations, modulo trivial generalized cluster transformations. Then the generalized cluster modular group \mathcal{G}_{Γ} based at Γ is the group of automorphisms of the object Γ of \mathcal{A}_N . If Γ_1 and Γ_2 are two graphs with Newton polygon N, and $\Gamma_1 \stackrel{\phi}{\leadsto} \Gamma_2$ is a sequence of isotopies, elementary transformations and geometric R-matrix transformations, then the groups \mathcal{G}_{Γ_1} and \mathcal{G}_{Γ_2} are isomorphic, with isomorphism given by conjugation by ϕ . Therefore, the isomorphism class of the cluster modular group only depends on N.

3.4 The extended affine symmetric group

A bijection $w : \mathbb{Z} \to \mathbb{Z}$ is called an extended affine permutation with period k if w(i + k) = w(i) + k for all $i \in \mathbb{Z}$. The extended affine symmetric group \widehat{S}_k is the group of all extended affine permutations with period k, with group operation given by composition of functions. An extended affine permutation w is conveniently represented in window notation $[w(1), \ldots, w(k)]$.

Letting $\tau := [2, 3, ..., k, k+1]$, $s_i := [1, 2, ..., i-1, i+1, i, i+2, ..., k]$ for $1 \le i \le k-1$ and $s_0 = s_k := [0, 2, 3, ..., k-2, k-1, k+1]$, we get a presentation of \widehat{S}_k as the group generated by $\tau, s_0, ..., s_{k-1}$ with relations

- 1. $s_i^2 = 1$;
- 2. $s_i s_j = s_j s_i$ if |i j| > 1;
- 3. $s_i s_{i+1} s_i = s_{i+1} s_i s_{i+1}$;
- 4. $\tau s_i \tau^{-1} = s_{i+1}$,

where the relations are modulo k. The relations 2 and 3 are the braid relations.

Consider the map $\theta_k: \widehat{S}_k \to \mathbb{Z}$ given by $w \mapsto \frac{1}{k} \sum_{i=1}^k (w(i)-i)$. Reducing modulo k, we see that $(\overline{w(1)}, \ldots, \overline{w(k)})$ is a permutation of $(\overline{1}, \ldots, \overline{k})$, thus $\sum_{i=1}^k w(i) = \sum_{i=1}^k i \mod k$, hence $\theta_k(w)$ is always an integer. An elementary computation shows that θ_k is a group homomorphism.

Alternatively, θ_k is characterized as the unique group homomorphism that maps τ to 1 and every s_i to 0, and therefore is the "net translation".

3.5 The group \mathcal{H}_N

Let $|E_{\rho}|$ denote the *integral length* of the edge E_{ρ} of N, i.e. the number of primitive vectors in E_{ρ} . Consider the product of extended affine symmetric groups

$$\prod_{\rho \in \Sigma(1)} \widehat{S}_{|E_{\rho}|}.$$

We denote the generators of $\widehat{S}_{|E_{\rho}|}$ by $\tau_{\rho}, s_{\rho,0}, \ldots, s_{\rho,|E_{\rho}|-1}$. Taking the product of the group homomorphisms $\theta_{|E_{\rho}|}$, we get a group homomorphism

$$\theta_N := \prod_{\rho \in \Sigma(1)} \theta_{|E_{\rho}|} : \prod_{\rho \in \Sigma(1)} \widehat{S}_{|E_{\rho}|} \to \mathbb{Z}^{\Sigma(1)}.$$

Let L_N denote the kernel of $[1 \cdots 1] \circ \theta_N$, where $[1 \cdots 1] : \mathbb{Z}^{\Sigma(1)} \to \mathbb{Z}$ is the group homomorphism $f \mapsto \sum_{\rho \in \Sigma(1)} f(\rho)$.

Recall that, if $\alpha_1, \ldots, \alpha_{|E_{\rho}|}$ denote the zig-zag paths associated with the side E_{ρ} of N, then $E_{\rho} = [\alpha_1] + \cdots + [\alpha_{|E_{\rho}|}]$ in $H_1(\mathbb{T}, \mathbb{Z})$. Recall also that $\langle \cdot, \cdot \rangle$ denotes the intersection form on \mathbb{T} . We have an injective group homomorphism

$$j: H_1(\mathbb{T}, \mathbb{Z}) \cong \mathbb{Z}^2 \hookrightarrow L_N$$

$$m \mapsto \left(\tau_{\rho}^{\langle E_{\rho}, m \rangle}\right)_{\rho \in \Sigma(1)}.$$

The homomorphism j is well-defined because $[1 \cdots 1] \circ \theta_N$ is a group homomorphism taking the value 1 for τ_{ρ} and because the sum of homology classes all zig-zag paths vanishes in $H_1(\mathbb{T}, \mathbb{Z})$.

Lemma 3.4. $jH_1(\mathbb{T},\mathbb{Z})$ is a normal subgroup of L_N .

Proof. Using the relation $\tau s_i \tau^{-1} = s_{i+1}$ in \widehat{S}_n n times, we have $\tau^n s_i \tau^{-n} = s_i$, thus $s_i \tau^n s_i^{-1} = \tau^n$. Since $\langle E_\rho, m \rangle$ is always a multiple of $|E_\rho|$, the above calculation shows that $jH_1(\mathbb{T}, \mathbb{Z})$ is a normal subgroup of L_N .

We define the group $\mathcal{H}_N := L_N/jH_1(\mathbb{T},\mathbb{Z})$. As in [FM16], we construct a group homomorphism

$$\overline{\lambda}:\widehat{\mathfrak{G}}_{\Gamma}\to\mathcal{H}_N$$

as follows: During a generalized cluster transformation ϕ , each zig-zag path in the universal cover $\widetilde{\Gamma}$ of Γ is translated so that after ϕ , it lies over a parallel zig-zag path. Suppose that after the generalized cluster transformation ϕ , the zig-zag path $\widetilde{\alpha}_i$ lies over the initial location of $\widetilde{\alpha}_j$. Then we define the extended affine permutation $w_{\rho} \in \widehat{S}_{|E_{\rho}|}$ by $w_{\rho}(i) = j$. This defines a group homomorphism

$$\lambda: \widehat{\mathfrak{G}}_{\Gamma} \to \prod_{\rho \in \Sigma(1)} \widehat{S}_{|E_{\rho}|}.$$

The argument in [FM16, Section 7.3] shows that the image of $\widehat{\mathcal{G}}_{\Gamma}$ is contained in L_N (see [GI19, Section 2.3] for more details). Define $\overline{\lambda}$ to be the composition

$$\widehat{\mathfrak{G}}_{\Gamma} \xrightarrow{\lambda} L_N \to \mathfrak{H}_N.$$

Rephrasing Theorem 1.1, the main result of the paper is:

Theorem 3.5. Suppose N contains an interior lattice point. Then $\overline{\lambda}$ is surjective with kernel the subgroup of trivial generalized cluster transformations \mathfrak{K}_{Γ} . Therefore by the first isomorphism theorem, $\overline{\lambda}$ induces an isomorphism of the generalized cluster modular group \mathfrak{G}_{Γ} based at Γ with \mathfrak{H}_N . If N has no interior lattice points, then $\mathfrak{G}_{\Gamma} \cong \prod_{\rho \in \Sigma(1)} S_{|E_{\rho}|}$ is a finite group.

Remark 3.6. The rank of the Poisson structure on \mathcal{X}_N is 2g, where g is the number of interior lattice points in N, and therefore the case when g=0 is uninteresting from the point of view of integrable systems.

4 Existence of geometric R-matrix transformations for general minimal bipartite graphs

In this section, we prove that $\overline{\lambda}$ is surjective. We begin by investigating what a geometric R-matrix transformation does to zig-zag paths.

Proposition 4.1. Suppose α_1, α_2 are the two zig-zag paths that bound the cyclic chain of hexagons as shown on the left-hand side of Figure 3. Then the geometric R-matrix transformation interchanges the monodromies around these two zig-zag paths, while the monodromies around all other zig-zag paths are invariant.

Proof. Suppose $\alpha_1, \alpha_2 \in Z_\rho$, $\rho \in \Sigma(1)$. Since the weights of the two edges in the bigon sum to zero, the Kasteleyn matrix and therefore the spectral curve are invariant under the process of adding/deleting a bigon. Since elementary transformations also preserve the spectral curve, the spectral curve is invariant under geometric R-matrix transformations. Note that the graphs before and after a geometric R-matrix transformation are minimal, even though the intermediate steps after adding a bigon are not minimal. As a consequence, since the monodromies around zig-zag paths are determined by the spectral curve for minimal graphs, and since the zig-zag paths in $Z_\rho - \{\alpha_1, \alpha_2\}$ are unaffected by the geometric R-matrix transformation ϕ , we must have:

- 1. either $(\mu^{\phi})^*C_{\alpha_1} = C_{\alpha_1}$ and $(\mu^{\phi})^*C_{\alpha_2} = C_{\alpha_2}$,
- 2. or $(\mu^{\phi})^* C_{\alpha_1} = C_{\alpha_2}$ and $(\mu^{\phi})^* C_{\alpha_2} = C_{\alpha_1}$.

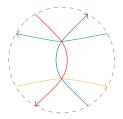
With the notation of Section 3.1, we have $\frac{C_{\alpha_1}}{C_{\alpha_2}} = \prod_{i=1}^n X_{f_i}$. Note that (8) can be rewritten as

$$(\mu^{\phi})^* X_{f_i} = \frac{1}{X_{f_{i+1}}} \sum_{t=0}^{n-1} \prod_{s=0}^{t-1} X_{f_{i+s}} \sum_{t=0}^{t-1} \prod_{s=0}^{t-1} X_{f_{i+s+2}}.$$
(9)

Using (9), we compute

$$(\mu^{\phi})^* \left(\frac{C_{\alpha_1}}{C_{\alpha_2}}\right) = \prod_{i=1}^n (\mu^{\phi})^* X_{f_i} = \frac{1}{\prod_{i=1}^n X_{f_i}} = \frac{C_{\alpha_2}}{C_{\alpha_1}},$$

so we must have $(\mu^{\phi})^*C_{\alpha_1} = C_{\alpha_2}$ and $(\mu^{\phi})^*C_{\alpha_2} = C_{\alpha_1}$. Finally, the monodromy around any zig-zag path in $Z_{\sigma}, \sigma \in \Sigma(1) - \{\rho\}$, must be invariant, since such a zig-zag path is affected only by the spider moves occurring during the geometric R-matrix transformation, which do not change its monodromy (cf Section 2.3).



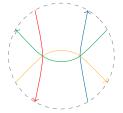


Figure 7: The 2-2 move.

The rest of this section is mostly devoted to prove the following result.

Theorem 4.2. Suppose $\rho \in \Sigma(1)$ such that $k := |E_{\rho}| = \#Z_{\rho} \geq 2$. Let $Z_{\rho} = \{\alpha_1, \ldots, \alpha_k\}$, where $\alpha_1, \ldots, \alpha_k$ are labeled in cyclic order around \mathbb{T} such that α_{i+1} is to the right of α_i , and the indices are defined modulo k (so $\alpha_{k+1} = \alpha_1$). For every $i \in [k]$, there is a sequence of elementary transformations and geometric R-matrix transformations such that the positions of α_i and α_{i+1} are interchanged, while the positions of all other zig-zag paths are unchanged.

Remark 4.3. When k = 2, there are two such sequences: one where α_1 moves to the left (i = 2) and one where α_1 moves to the right (i = 1).

To prove Theorem 4.2, we need the notion of triple point diagrams, introduced independently by Postnikov [Pos06] and Thurston [Thu04]. A *triple point diagram* is a collection of oriented curves in a disk, defined modulo isotopy, such that:

- 1. Three strands meet at each intersection point.
- 2. The end-points of strands are distinct points on the boundary of the disk.
- 3. The orientations of the strands induce consistent orientations on each region in the complement of the strands in the disk.

Due to Property 2, if there are n strands, then there are 2n points on the boundary of the disk. Property 3 implies that the orientations of the strands alternate "in" and "out" along the boundary of the disk. A triple point diagram is said to be minimal if strands have no bigons or self-intersections. There is a local move for triple point diagrams called the 2-2 move (Figure 7).

Theorem 4.4 ([Pos06, Thu04]). Suppose we have a disk with 2n points on its boundary alternately labeled "in" and "out".

- 1. For any of the n! matchings of "in" and "out" boundary points, there is a triple point diagram that realizes the matching.
- 2. Any two minimal triple point diagrams with the same boundary matching of "in" and "out" points are related by 2-2 moves.

Next, we recall the equivalence between minimal triple point diagrams and minimal bipartite graphs in the disk from [GK13, Section 2]. We say that a bipartite graph in the disk is minimal if zig-zag paths have no loops and parallel bigons.

- 1. Bipartite graphs to triple point diagrams: By repeatedly expanding black vertices of degree greater than 3 into two black vertices connected by a 2-valent white vertex and contracting all 2-valent black vertices, we can assume that all black vertices have degree 3. We draw all zig-zag paths as paths in the medial graph, and contract all the complementary regions corresponding to black vertices to get a triple point diagram.
- 2. Triple point diagrams to bipartite graphs: Resolve each triple crossing point into a counterclockwise oriented triangle. Place a black vertex in each complementary region that is oriented counterclockwise and a white vertex in each complementary region that is oriented clockwise. Edges between black and white vertices are given by the vertices of the resolved triple point diagram.

For an illustration, compare Figure 7 with the bottom left and bottom right pictures of Figure 1. Under this equivalence, the notions of minimality on the two objects coincide and both elementary transformations become 2-2 moves.

Proof of Theorem 4.2. Without loss of generality, we may assume i = 1, so we want to interchange α_1 and α_2 . By Theorem 2.1, any two minimal bipartite graphs in \mathbb{T} with Newton polygon N are related by a sequence of elementary transformations. Therefore we may take Γ to be a specific graph that we construct now using an argument similar to the proof of [GK13, Theorem 2.5].

Step 1: Construction of the graph.

We choose a basis e_1, e_2 for $H_1(\mathbb{T}, \mathbb{Z})$ such that $e_2 = [\alpha_i]$ for $\alpha_i \in Z_\rho$. Such a choice always exists since $[\alpha_i]$ is primitive. Suppose R is a fundamental rectangle for \mathbb{T} with sides parallel to e_1 and e_2 . We draw a Euclidean geodesic in \mathbb{T} for each zig-zag path, so the number of intersections of any zig-zag path with ∂R is minimal. The preimage of each zig-zag path under the quotient map $R \to \mathbb{T}$ is a finite disjoint union of curves in R with end-points in ∂R , which we call strands. By construction, the preimage of each α_i is a single strand, with end-points in the top and bottom sides of R, oriented from the bottom end-point to the top one. Up to shifting R horizontally, we can assume that the left-most intersection points of a strand with the top and the bottom sides of ∂R are that of α_1 .

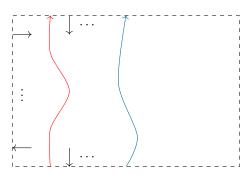


Figure 8: The configuration at the end of Step 1. The red strand is α_1 and the blue strand is α_2 . There are no other end-points of strands between the end-points of α_1 and the black strands.

Next, we isotope the strands inside R so that their end-points in ∂R alternate in orientation, while keeping the cyclic order of outgoing points of strands and the cyclic order of incoming points

of strands around ∂R fixed. During this isotopy, we can assume that the end-points of α_1 are still the left-most intersection points of a strand with the top and bottom sides of R. Finally we use Theorem 4.4 to isotope the configuration of strands to a minimal triple point diagram. We have created the configuration shown in Figure 8.

Step 2: Creation of a chain of hexagons between α_1 and α_2 .

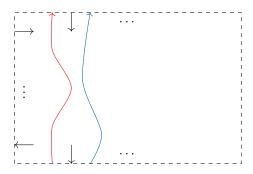


Figure 9: There are no other end-points of strands between the end-points of α_1 and the black strands (resp. between the end-points of α_2 and the black strands).

We use the following fact contained in the proof of Theorem 2.5 in [GK13] (cf. Figure 12 of [GK13]).

Lemma 4.5. Suppose we have two incoming strands a, c separated by an outgoing strand b on the boundary of R, so in order the strands are abc. Then using a sequence of elementary transformations and isotopies, we can permute the order of the incoming strands, so that the new order is cba. The same statement is true for two outgoing strands separated by an incoming strand.

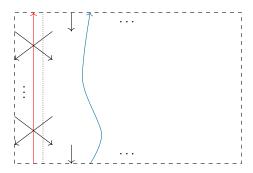


Figure 10: The red strand runs parallel to the left side of R, i.e. there are no triple points strictly between the red strand and the left side of R.

By using Lemma 4.5 repeatedly, we can assume that the third point of intersection from the left on the top and bottom sides of R is that of α_2 (Figure 9). Using Theorem 4.4, we can make the strand α_1 run parallel to the left side of R (i.e. there are no triple points strictly between the red strand and the left side of R), so that we get the configuration in Figure 10. Cutting the rectangle

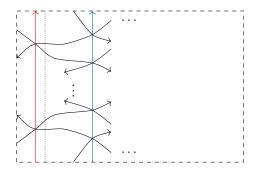


Figure 11: The blue strand runs parallel to the left side of R', i.e. there are no triple points strictly between the red strand and the blue strand.

along the dotted line as in Figure 10, we get a minimal triple point diagram in the smaller rectangle R' on the right of the dotted line. Using Theorem 4.4 again, we can make the blue strand run parallel to the left side of R' (i.e. there are no triple points strictly between the red strand and the blue strand) to get the configuration in Figure 11. We have thus created a cyclic chain of hexagons between α_1 and α_2 .

Step 3: Geometric R-matrix transformation.

We now use a geometric R-matrix transformation at the cyclic chain of hexagons to interchange α_1 and α_2 . Finally, we perform Step 2 in reverse order to recover the graph we started with, but with α_1 and α_2 interchanged.

Proposition 4.6. The group homomorphism $\overline{\lambda}$ is surjective.

Proof. It suffices to show that λ is surjective, namely that given any element of $(w_{\rho})_{\rho \in \Sigma(1)} \in L_N$, there is a sequence ϕ of elementary transformations and geometric R-matrix transformations such that $\lambda(\phi) = (w_{\rho})_{\rho \in \Sigma(1)}$. The group L_N is generated by $s_{\rho,i}$, where $\rho \in \Sigma(1), i = 0, \ldots, |E_{\rho}| - 1$, and by $\tau_{\rho}\tau_{\sigma}^{-1}$, where σ and ρ are two consecutive rays in $\Sigma(1)$. Therefore we only need to show that there is a generalized cluster transformation that λ maps to these generators. We have:

- 1. For $s_{\rho,i}$, a generalized cluster transformation ϕ from Theorem 4.2.
- 2. For $\tau_{\rho}\tau_{\sigma}^{-1}$, a cluster transformation ϕ from [GI19, Section 3].

5 Geometric R-matrix and the spectral transform

Suppose $\phi: \Gamma \leadsto \Gamma$ is a geometric R-matrix transformation that interchanges the zig-zag paths $\alpha_i, \alpha_{i+1} \in Z_\rho$. Let μ^{ϕ} denote the induced birational map of \mathfrak{X}_N . The goal of this section is to understand the induced map $\kappa_{\Gamma,w} \circ \mu^{\phi} \circ \kappa_{\Gamma,w}^{-1}$ on spectral data. By splitting a white vertex into two white vertices separated by a two-valent black vertex if necessary, we may assume that there is a white vertex w_0 that is not contained in the cyclic chain of hexagons.

Proposition 5.1. Under the spectral transform κ_{Γ,w_0} , the geometric R-matrix transformation becomes the map $(\mathcal{C}, S, \nu) \mapsto (\mathcal{C}, S, \nu')$, where ν' is obtained from ν by interchanging the points at infinity associated to α_i and α_{i+1} .

Proof. Insertion and deletion of a bigon does not change the Kasteleyn matrix. Elementary transformations change the Kasteleyn matrix, but they preserve the spectral curve and the cokernel of the Kasteleyn matrix. Since the geometric R-matrix transformation is a sequence of these moves, it does not change the spectral curve or the spectral divisor S_{w_0} . By Proposition 4.1, the geometric R-matrix transformation interchanges the zig-zag paths α_i and α_{i+1} , so ν becomes ν' .

Let $\mathrm{Div}_{\infty}(\mathfrak{C}) := \bigoplus_{\rho \in \Sigma(1)} \bigoplus_{\alpha \in Z_{\rho}} \mathbb{Z} \cdot \nu(\alpha)$ denote the group of divisors at infinity of \mathfrak{C} , i.e. formal \mathbb{Z} -linear combinations of points at infinity of \mathfrak{C} . The discrete Abel map

$$\mathbf{d}: \widetilde{B} \sqcup \widetilde{W} \to \mathrm{Div}_{\infty}(\mathfrak{C})$$

was defined by Fock [Foc15] as follows:

- 1. Let $\mathbf{d}(\widetilde{\mathbf{w}}_0) = 0$ for a fixed white vertex $\widetilde{\mathbf{w}}_0 \in \widetilde{W}$. This is a choice of normalization.
- 2. For an edge $\widetilde{e} = \widetilde{b}\widetilde{w}$ in $\widetilde{\Gamma}$, we have $\mathbf{d}(\widetilde{b}) \mathbf{d}(\widetilde{w}) = \nu(\alpha) + \nu(\beta)$, where $\widetilde{\alpha}, \widetilde{\beta}$ are the two zig-zag paths that contain the edge \widetilde{e} , and $\widetilde{\alpha}$ (resp. $\widetilde{\beta}$) is a lift of α (resp. β).

The discrete Abel map changes by a principal divisor under translations in the universal cover of \mathbb{T} (which are canonically identified with elements of $H_1(\mathbb{T}, \mathbb{Z})$):

$$\mathbf{d}(\widetilde{\mathbf{w}} + i\gamma_z + j\gamma_w) = \mathbf{d}(\widetilde{\mathbf{w}}) + \operatorname{div}_{\mathcal{C}} z^i w^j, \tag{10}$$

where $\operatorname{div}_{\mathbb{C}} z^i w^j$ is the principal divisor of the rational function $z^i w^j$ on the curve \mathbb{C} . Let $\operatorname{Cl}(\mathbb{C})$ denote the class group of \mathbb{C} , i.e. the group of Weil divisors modulo principal divisors. The following theorem describes how the spectral divisor changes as we vary the white vertex $w \in W$.

Theorem 5.2. [Foc15] If w_1, w_2 are two white vertices in Γ , then we have

$$S_{\mathbf{w}_1} + \mathbf{d}(\widetilde{\mathbf{w}}_1) = S_{\mathbf{w}_2} + \mathbf{d}(\widetilde{\mathbf{w}}_2) \text{ in Cl(C)}, \tag{11}$$

where S_{w_1}, S_{w_2} denote the spectral divisors defined using w_1, w_2 respectively, and $\widetilde{w}_1, \widetilde{w}_2$ are any respective lifts of w_1, w_2 to the plane.

Remark 5.3. By Riemann's theorem, a generic degree g effective divisor on \mathcal{C} is determined by its linear equivalence class in $Cl(\mathcal{C})$, so the condition (11) determines S_{w_2} from S_{w_1} , and moreover, by (10), does not depend on the choices of lifts of w_1 and w_2 .

Now we return to the setting of a geometric R-matrix transformation $\phi: \Gamma \leadsto \Gamma$. Let \mathbf{d} and \mathbf{d}^{ϕ} denote the discrete Abel maps before and after the geometric R-matrix transformation, both normalized to be 0 at a chosen lift $\widetilde{\mathbf{w}}_0$ of \mathbf{w}_0 . Let α_1 and α_2 denote the two zig-zag paths that bound the cyclic chain of hexagons as on the left of Figure 3. Then we have explicitly:

$$\mathbf{d}^{\phi}(\widetilde{\mathbf{w}}) = \begin{cases} \mathbf{d}(\widetilde{\mathbf{w}}) + \nu(\alpha_1) - \nu(\alpha_2) & \text{if } \mathbf{w} = p(\widetilde{\mathbf{w}}) \text{ is contained in the zig-zag path } \alpha_1; \\ \mathbf{d}(\widetilde{\mathbf{w}}) & \text{otherwise.} \end{cases}$$
(12)

For w any white vertex of Γ , let $\widetilde{\mathbf{w}}$ be a choice of lift so that $p(\widetilde{\mathbf{w}}) = \mathbf{w}$, and let $S_{\mathbf{w}}, S_{\mathbf{w}}^{\phi}$ denote the spectral divisors before and after the transformation ϕ .

Proposition 5.4. For any white vertex w of Γ , we have the equality

$$S_{\mathbf{w}}^{\phi} + \mathbf{d}^{\phi}(\widetilde{\mathbf{w}}) = S_{\mathbf{w}} + \mathbf{d}(\widetilde{\mathbf{w}})$$

in the divisor class group of C.

Proof. If w is not on the chain of hexagons, it follows from Proposition 5.1 and (12). Otherwise, it follows from combining Proposition 5.1, Theorem 5.2 and (12). \Box

Remark 5.5. Intuitively, we think of the geometric R-matrix transformation at the level of the graphs, without the weights, as follows: Let $\alpha_1, \ldots, \alpha_{|E_\rho|}$ denote the zig-zag paths in Z_ρ in cyclic order, and let $\widetilde{\alpha}_i, i \in \mathbb{Z}$, be their lifts to the universal cover, so that $p(\widetilde{\alpha}_i) = \alpha_j$ where $j \equiv i \mod |E_\rho|$ and $1 \leq j \leq |E_\rho|$. Then the lifts of α_1 (resp. α_2) are given by $\widetilde{\alpha}_{1+n|E_\rho|}$ (resp. $\widetilde{\alpha}_{2+n|E_\rho|}$), where $n \in \mathbb{Z}$. The geometric R-matrix transformation ϕ is a homotopy of zig-zag paths in $\widetilde{\Gamma}$, periodic in the plane along the direction ρ , that slides the two zig-zag paths $\widetilde{\alpha}_{1+n|E_\rho|}$ and $\widetilde{\alpha}_{2+n|E_\rho|}$ through each other, interchanging them while keeping all other zig-zag paths unchanged (Figure 3). The discrete Abel map \mathbf{d} is a way to encode the effect of the homotopy (see (12)), and Proposition 5.4 says that it determines transformation of weights.

We have the same intuitive description for elementary transformations as well, as explained in [GI19, Section 4]: each elementary transformation can be understood at the level of graphs as a homotopy of zig-zag paths in the universal cover, and the homotopy determines the transformation of weights via the discrete Abel map.

6 Proof of Theorem 3.5

As explained in Remark 5.5, we can think of ϕ in \mathcal{G}_{Γ} as a homotopy of zig-zag paths in the universal cover of \mathbb{T} , and we have an induced discrete Abel map \mathbf{d}^{ϕ} , defined such that $\mathbf{d}^{\phi}(\widetilde{\mathbf{w}}) - \mathbf{d}(\widetilde{\mathbf{w}})$ records, with sign, the number of times the lifts of each zig-zag path crossed the vertex $\widetilde{\mathbf{w}}$ during ϕ . It follows from Proposition 5.4 and the corresponding result of [Foc15] for elementary transformations that:

Proposition 6.1. The group \mathcal{G}_{Γ} acts on \mathcal{S}_N as follows:

- 1. C is invariant;
- 2. For any white vertex w of Γ , and a choice of lift \widetilde{w} of w, the spectral divisor S_w is translated by $\mathbf{d}(\widetilde{w}) \mathbf{d}^{\phi}(\widetilde{w})$ in the Jacobian of \mathbb{C} , i.e. the spectral divisor S_w^{ϕ} after ϕ is given by

$$S_{\mathbf{w}}^{\phi} + \mathbf{d}^{\phi}(\widetilde{\mathbf{w}}) = S_{\mathbf{w}} + \mathbf{d}(\widetilde{\mathbf{w}}),$$

where the equality is in $Cl(\mathcal{C})$.

3. ν is permuted to ν^{ϕ} , where the permutation is obtained by comparing the initial and final positions of zig-zag paths.

We note that the action is completely determined by the homotopy of zig-zag paths, or more precisely, by $\mathbf{d}^{\phi} - \mathbf{d}$.

We need to identify the kernel of $\overline{\lambda}$.

Lemma 6.2. Let $\phi \in \widehat{\mathbb{G}}_{\Gamma}$ be a generalized cluster transformation, and let $\mu^{\phi} : \mathbb{S}_N \to \mathbb{S}_N$ be the induced birational automorphism of \mathbb{S}_N . The action of $\widehat{\mathbb{G}}_{\Gamma}$ on \mathbb{S}_N factors through L_N :

$$\widehat{\mathcal{G}}_{\Gamma} \xrightarrow{\lambda} L_{N} \downarrow_{\exists} ,$$

$$\operatorname{Bir}(\mathcal{S}_{N})$$

where $Bir(S_N)$ is the group of birational automorphisms of S_N .

Proof. Follows from Proposition 6.1, since both ν^{ϕ} and $\mathbf{d}^{\phi}(\mathbf{w}) - \mathbf{d}(\mathbf{w})$ are determined by $\lambda(\phi)$. \square

Corollary 6.3. The kernel of $\overline{\lambda}$ is contained in the subgroup \mathfrak{K}_{Γ} of trivial generalized cluster transformations.

Proof. If $\phi \in \ker \overline{\lambda}$, then by Lemma 6.2, the induced birational map of S_N is the same as the birational map induced by a translation in $H_1(\mathbb{T}, \mathbb{Z})$, so $\phi \in \mathcal{K}_{\Gamma}$.

Finally, we need to show that $\mathcal{K}_{\Gamma} \subseteq \ker \overline{\lambda}$.

Lemma 6.4. Suppose N contains an interior lattice point. If $\phi \in \mathcal{K}_{\Gamma}$, then $\overline{\lambda}(\phi) = 0$.

Proof. In order for ϕ to be a trivial generalized cluster transformation, we must have by Proposition 6.1 that $\nu^{\phi} = \nu$ i.e. the cyclic orders of zig-zag paths in any Z_{ρ} before and after ϕ are the same. By [GI19, Section 3] there is a sequence of isotopies and elementary transformations ϕ' such that $\lambda(\phi) = \lambda(\phi')$, i.e. we can achieve the same generalized cluster transformation without using geometric R-matrix transformations. Then, the proof of [GI19, Theorem 4.8] shows that $\overline{\lambda}(\phi') = 0$.

Proof of Theorem 3.5. If N contains an interior lattice point, then the homomorphism $\overline{\lambda}$ is surjective by Proposition 4.6 and the kernel is \mathcal{K}_{Γ} by Corollary 6.3 and Lemma 6.4.

If N contains no interior lattice points, then the spectral divisor contains g=0 points, and therefore the action on S_N only changes the bijections ν .

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